

Course ID Number: ADC5013

Course Title: Policy Evaluation and Management

No. of Credits: 2

Graduate School of International Relations
International University of Japan

Term: Spring 2012

Timetable: 2nd and 3rd period on Saturday

Instructor: Jun Arakawa

Course Introduction

The major objective of the course “Policy Evaluation & Management” is to learn basic theories and practices of policy evaluation and management, including challenges, both at national and local levels of governments. In the course, various evaluation practices as well as some practical methodologies will be discussed.

Course title: Policy Evaluation and Management

Instructor: Jun Arakawa

Introduction:

The major objective of the course “Policy Evaluation & Management” is to learn basic theories and practices of policy evaluation and management, including challenges, both at national and local levels of governments. In the course, various evaluation practices as well as some practical methodologies will be discussed.

Organization:

The course is organized by lectures, discussions, and workshops.

Assessment:

Assessments are based on attendance and term paper (end-term).

Policies:

For this course, attendance and active participation into discussion are required.

Course periods:

Saturday, 2nd period (10:30-12:00) and 3rd period (13:00-14:30)

Based on specific requests and availabilities, in some weeks, classes could be provided at “1st & 2nd periods” of Saturday.

There will be no classes on Saturday, 5th of May. Specific timing for “Week 5: Workshop (1)” will be decided later.

Reading lists:

Listed books below are globally well-known textbooks in this area, so you are strongly recommended to read them. In the course, however, no single textbook is used. Instead, various books including these textbooks, articles, and government documents are used. Reading assignment for each class will be instructed in the course.

- Harry P. Hatry, Performance Measurement, Urban Institute Press, 2nd Edition, 2007

- Peter H. Rossi, Mark W. Lipsey, Howard E. Freeman, Evaluation, 7th edition, SAGE, 2004

- Joseph S. Wholey, Harry P. Hatry, Kathryn E. Newcomer, eds. Handbook of Practical Program Evaluation, 2nd edition, Jossey-Bass, 2004

- The HM Treasury, The Green Book, Appraisal and Evaluation in Central Government, London: TSO, 2003 (available at web)
http://www.hm-treasury.gov.uk/d/green_book_complete.pdf

Weekly Schedule:

Week	Class	Topics	Major contents
1	1	Introduction / Policy management and PDCA cycle	Basic theory of new public management (NPM) and of policy management is explained.
	4/7	What is policy evaluation?	Definitions, purposes, theories, categories, criteria, actors, uses and incentives of policy evaluation are explained.
2	3	"Logic Model" (1)	Purposes, types, and uses of "logic model," a foundation for every kind of policy evaluation, are explained.
	4/14	"Logic Model" (2)	Examples of "Logic Models" formulated and used by governments are explained, with some formulating methodologies and techniques.
3	5	Category of evaluation (1): Performance measurement	Definition, system, and usage of "performance measurement" are explained as one of major evaluation categories.
	4/21	Evaluation practices (1): Performance measurement	Practices in the U.S. (federal, state & local), UK (national), Canada (federal), Japan (national & local) and others are explained.
4	7	Category of evaluation (2): Project evaluation (CBA, RIA)	Definition, system, and usage of "project evaluation," in particular, Cost-Benefit Analysis (CBA), and Regulatory Impact Analysis (RIA) are explained as one of major categories.
	4/28	Evaluation practices (2): Project evaluation (CBA, RIA)	Practices in the U.S. (federal), U.K. (national), Japan (national), Australia (national), Canada (federal), European Union and others are explained.
5 ●/● TBD	9	Workshop (1)	Logic model development & performance measurement setting
	10	Workshop (1)	
6	11	Category of evaluation (3): Program evaluation	Definition, system, and usage of "program evaluation" are explained as one of major categories.
	5/12	Evaluation practices (3): Program evaluation	Practices in the U.S. (federal), Canada and others are explained.

Week	Class	Topics	Major contents
7 5/19	13	ODA evaluation (1)	How Official Development Assistance (ODA) activities are evaluated in practice is explained. Evaluation practices for all kinds of evaluation categories are discussed. Examples include ODA evaluation conducted by international organizations such as the World Bank, or Asian Development Bank.
	14	ODA evaluation (2)	
8 5/26	15	Other types of evaluations and their practices	Other types of evaluations are explained with definition, system, usage, and various practices.
		Participatory evaluation and consensus building	Concept and practice of "participatory evaluation" and roles of policy evaluation in consensus building process are explained.
	16	Challenges and future directions of policy evaluation	Challenges for policy evaluation faced by government officials and possible (desirable) future directions are explained.
9 6/2	17	Use of Evaluation (1): Performance management	How results of evaluation are used for performance management is explained. Discussion includes "Performance conference" and "Dashboard."
	18	Use of Evaluation (2): Resource management	How results of evaluation are used for resource (human, financial, and other) management is explained. Discussion includes "performance pay" system, and "performance-based budgeting" (PBB).
10 6/9	19	Workshop (2)	Cost-Benefit Analysis and/or program evaluation
	20	Workshop (2)	