

Course ID Number: DCC5090

Course Title: International Organization

No. of Credits: 2 credits

Graduate School of International Relations
International University of Japan

Winter 2011

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International Organization

Instructor: Naoko Kumagai

This course explores theoretical perspectives on the formation, processes, functions, and effects of international organizations in contemporary world politics. Questions include how ideas about cooperation among sovereign states in the anarchical international system have emerged, been put into practice, and influenced state behavior. Since the study of international organizations is that of state cooperation, the course necessarily covers theories of international relations. Students who do not have a background in international relations will be provided with some supplementary catch-up readings.

The course starts with the introduction of historical ideas of state cooperation. Then we move on to the study of theories of international organizations to understand the growth and work of international organizations. The latter half of the course examines the actual performances of international organizations in the main issues of international security and economic cooperation and development through the cases of international aid, finance, and trade. The course concludes with the study of regional organizations, with special focus on ASEAN. By the end of the semester, students are expected to have sharpened their analytical skills and gained understanding of how international and regional organizations are coping with the challenges posed by the current crises of terrorism, nuclear weapons, and financial crisis.

Course Requirements: Active participation in class discussion, a short paper, and a research paper.

1) A short paper on theories of international organization, 4-5 pages in length.

This assignment calls for students to summarize the three main theoretical perspectives of international organizations, and critically and comparatively analyze and evaluate them.

2) A 15-page research paper.

In the research paper, each student will choose an international organization (inter-governmental organization, regional organization, or international NGO) which still exists today and observe and analyze its activities from a theoretical perspective. Work on the research paper will be in three stages: each student will (1) choose an international organization and formulate a research question; (2) conduct a literature review to examine how other scholars have dealt with the activities of this organization so far; and (3) write a final paper. Each stage has a deadline. The first and second stages will constitute drafts toward the final paper. The paper is due by the end of the final week.

Policies

Students are expected to come to class on time, to attend all the sessions, to complete assigned readings on time, and to submit all the papers on time. Delay in paper submission will affect your grade negatively. If you need to be absent from the class or if you are unable to submit a paper on time, you should contact the instructor in advance.

Deadlines for papers:

For a short paper: **the 5th week**

For research paper

For the first stage (research question): **the 6th week**

For the second stage (literature review): **the 8th week**

For the third stage (final paper): **the 11th week**

Grading System

Active class participation (attendance, class preparation, and class discussion): 25%

Short paper: 30%

Final research paper: 45%

Weekly Schedule

1. Changing World Politics, Global Governance, and International Organizations:

Historical Context of International Organizations

-Paul Diehl, "International Governmental Organizations," *The Politics of Global Governance: International Organizations in an Interdependent World*, Boulder: Lynne Rienner Publishers, third edition, 2005, pp. 9-24.

- A. LeRoy Bennett, "A Great Experiment – The League of Nations" and "The Genesis of The United Nations," in *International Organizations*, sixth edition, Englewood Cliffs: Prentice-Hall, 1995, pp.24-55.
- Lawrence S. Finkelstein, "What is Global Governance?" *Global Governance*, Vol. 1, 1995, pp.367-372.
- James N. Rosenau, "Governance, Order, and Change in World Politics," in James N. Rosenau and Otto Czempiel, eds., *Governance Without Government*, Cambridge: Cambridge University Press, 1992, pp.1-29.

Recommended:

- Hendrik Spruyt, *The Sovereign State and Its Competitors*, Princeton: Princeton University Press, 1994.
- Inis L. Claude, "The Development of International Organization in the Nineteenth Century," "The Establishment of the League of Nations," and "The Origins of the United Nations," in *Swords Into Plowshares*, New York: Random House, 1971, pp.21-82.
- Margaret P. Karns and Karen A. Mingst, *International Organizations: The Politics and Processes of Global Governance*, second edition, Boulder: Lynne Rienner Publishers, 2009, pp. 97-144.
- Harold K. Jacobson, "Early Proposals for International Organizations" and "the Evolution of the Webs of International Organization Networks," *Networks of Interdependence: International Organizations and the Global Political System*, second edition, New York: Alfred A. Knopf, 1984, pp. 19-58.
- James David Armstrong, Lorna Lloyd, and John Redmond, *From Versailles to Maastricht*, Hampshire: Palgrave Macmillan, 1996.

2. Anarchy and Collective Action--Why Do States Cooperate?

Part I. Rationalist Institutionalism

- Alexander Thompson & Duncan Snidal, "International Organization," in B. Bouckaert & G. DeGeest, eds., *Encyclopedia of Law and Economics*, pp. 692-722. Available at <http://encyclo.findlaw.com/9800book.pdf>.
- J. Martin Rochester, "The Rise and Fall of International Organization as a Field of Study," *International Organization*, Vol. 40, No. 4, 1986, pp.777-814.
- Robert O. Keohane, "Cooperation and International Regimes," "Rational-Choice and Functional Explanations," and "A Functional Theory of International Regimes," in *After Hegemony*, Princeton: Princeton University Press, 1984.

Recommended:

- Kenneth W. Abbott and Duncan Snidal, "Why States Act through Formal International Organizations," *Journal of Conflict Resolution*, Vol. 42, No. 1, February 1998, pp. 3-32.
- Lisa L. Martin and Beth A. Simmons, "Theories and Empirical Studies of International Institutions," *International Organizations*, Vol. 52, No. 4, 1998, pp.729-757.
- Harold Jacobson, "Philosophical Perspectives on the Evolution of International Organizations," in *Networks of Interdependence*, pp. 59-73.

- David Lake, "Anarchy, Hierarchy, and the Variety of International Relations," *International Organization*, Vol. 50, No. 1, 1996, pp. 1-33.
- Mancur Olson, "A Theory of Groups and Organizations" and "Group Size and Group Behavior," *The Logic of Collective Action*, Massachusetts: Harvard University Press, 1971.
- Kenneth Waltz, "Political Structures," in *Theory of International Politics*, Massachusetts: Addison-Wesley Publishing Company, 1979.
- Kenneth Oye, "Explaining Cooperation under Anarchy: Hypotheses and Strategies," *World Politics*, Vol. 38, No. 1, 1985, pp. 1-24.
- Inis L. Claude, "The Functional Approach to Peace," *Swords Into Plowshares*, pp. 378-410.

3. Why Do States Cooperate?

Part II. Constructivist and Ideational Theory

- John G. Ruggie, "Multilateralism: The Anatomy of an Institution," *International Organization*, Vol. 46, No. 3, Summer 1992, pp. 561-598.
- Friedrich Kratochwill and John G. Ruggie, "International Organization: A State of the Art on an Art of the State," *International Organization*, Vol. 40, No.4, 1986, pp.753-776.
- Alexander Wendt, "Anarchy Is What States Make of It," *International Organization*, Vol. 46, No. 2, 1992, pp. 391-425.

Recommended:

- Alexander Wendt, "Why a World State is Inevitable," *European Journal of International Relations*, Vol. 11, 2003, pp. 491-542.
- Michael Barnett and Martha Finnemore, *Rules for the World*, Ithaca: Cornell University Press, 2004.
- Anne-Marie Slaughter, *A New World Order*, Princeton: Princeton University Press, 2004.
- Thomas Risse-Kappen, "Collective Identity in a Democratic Community: The Case of NATO," in Peter J. Katzenstein, ed., *The Culture of National Security*, Ithaca: Cornell University Press, 1996, pp. 357-399.

4. Why Do States Cooperate?

Part III. Power and Institutions

- John Measheimer, "The False Premise of International Institutions," *International Security*, Vol. 19, No. 3, 1989, pp. 54-89.
- Robert Gilpin, "The Nature of International Political Change," *War & Change in World Politics*, Cambridge: Cambridge University Press, 1981, pp. 9-49.
- Susan Strange, "Cave! Hic Dragones: A Critique of Regime Analysis," in Stephen Krasner, ed., *International Regime*, pp. 41-56.
- Robert Cox, "The Idea of International Labor Regulation," in Robert W. Cox and Timothy Sinclair, eds., *Approaches to World Order*, pp. 41-48, Cambridge: Cambridge University Press, 1996.

Recommended:

- Randall Schweller and Davis Pries, "A Tale of Two Realisms: Explaining the Institutions Debate," *International Studies Review*, Vol. 41, No. 1, 1997, pp. 1-32.
- Stephen D. Krasner, "Introduction: The Argument" and "The Structural Causes of Third World Strategy," *Structural Conflict: The Third World against Global Liberalism*, Berkeley: University of California Press, 1985, pp. 3-58.
- Robert Gilpin, *War & Change*, 1981.
- Robert Gilpin, "Three Ideologies of Political Economy" and "The Dynamics of the International Political Economy," *The Political Economy of International Relations*, Princeton: Princeton University Press, 1987, pp.25-117.

5. Institutions and Processes --How Do International Organizations Operate? (SHORT PAPER DUE)

- Robert Cox and Harold K. Jacobson, "The Framework for Inquiry, " in Diehl, *The Politics of Global Governance*, pp. 75-90.
- Ruben P. Mendez, "Financing the United Nations and the International Public Sector: Problems and Reform," in Diehl, *The Politics of Global Governance*, pp. 165-192.
- Erik Voeten, "Clashes in the Assembly," *International Organization*, Vol. 54, No. 2, 2000, pp.185-215.

Recommended:

- Harold Jacobson, "The Powers, Functions, and Structures of International Organizations" and "The Participants in Decision Making: Their Influence and Interactions," in *Networks of Interdependence*, pp. 75-131.
- James Fearon, "Bargaining, Enforcement, and International Cooperation," *International Organization*, Vol. 52, No. 2, 1998, pp. 269-305.
- A. LeRoy Bennett, "Some Basic Issues of the United Nations," *International Organizations: Principles and Issues*, pp.77-102.
- Lawrence S. Finkelstein, "The Politics of Value Allocation in the UN System," in Finkelstein, ed., *Politics in the United Nations System*, Durham: Duke University Press, 1988, pp.1-40.

6. Non-Governmental Organizations and Transnational Relations (RESEARCH QUESTION DUE)

- A. LeRoy Bennett, "Transnational Relations and International Organization," in *International Organizations: Principles and Issues*, pp.265-287.
- John Boli and George M. Thomas, "INGOs and the Organization of World Culture," in Boli and Thomas, eds., *Constructing World Culture*, Stanford: Stanford University Press, 1999, pp. 13-49.
- Margaret E. Keck and Kathryn Sikkink, "Transnational Advocacy Networks in International Politics: Introduction," *Activist Beyond Borders*, Ithaca: Cornell University Press, 1998, pp. 1-38.

Recommended:

- Alison M.S. Watson, "Saving More than the Children: The Role of Child-Focused NGOs in the Creation of Southern Security Norms," in *Third World Quarterly*, Vol. 27, No. 2, 2006, pp. 227-237.
- *Nongovernmental Organizations, the United Nations and Global Governance*, Special Issue of *Third World Quarterly*, Vol. 16, No. 3, 1995.
- Bonny Ibhawoh, "Human Rights INGOs and the North-South Gap: The Challenge of Normative and Empirical Learning," in Daniel A. Bell and Jean-Marc Coicaud, eds., *Ethics in Action: The Ethical Challenges of International Human Rights Nongovernmental Organizations*, Cambridge: Cambridge University Press, 2007, pp. 99-116.

7. The Performance of International Organizations

Part I. International Peace and Security

- Barry O'Neill, "Power and Satisfaction in the Security Council," in Diehl, *The Politics of Global Governance*, pp. 144-164.
- Thomas G. Weiss, David P. Forsythe, Roger A. Coate, "The Theory of UN Collective Security," *The United Nations and Changing World Politics*, sixth edition, Boulder; Westview Press, 2009, pp.3-28.
- Thomas G. Weiss and Ramesh Thakur, "The Use of Force: War, Collective Security, and Peace Operations" and "Terrorism," *Global Governance at the United Nations: An Unfinished Journey*, Blooming: Indiana University Press, 2010, pp. 55-89 and pp. 128-152.
- Renée de Nevers, "NATO's International Security Role in the Terrorist Era," *International Security*, Vol. 31, No. 4, Spring 2007, pp. 34-66.

Recommended:

- David M. Malone, "US-UN Relations in the UN Security Council in the Post-Cold War Era," in Rosemary Foot, S. Neil MacFarlane, Michael Mastanduno, eds., *U.S. Hegemony and International Organizations*, Oxford: Oxford University Press, 2003, pp. 73-91.
- Lynn H. Miller, "The Idea and the Reality of Collective Security," in Diehl, *The Politics of Global Governance*, pp. 197-227.
- Douglas M. Gibler and Jamil A. Sewell, "External Threat and Democracy: The Role of NATO Revisited," *Journal of Peace Research*, Vol. 43, No. 4, July 2006, pp. 413-431.

8. The Performance of International Organizations

Part II. the World Trade Organization/ the International Monetary Fund/ the World Bank (LITERATURE REVIEW DUE)

- Ngairé Woods, "The United States and the International Financial Institutions: Power and Influence within the World Bank and the IMF," in Foot, MacFarlane, and Mastanduno, eds., *U.S. Hegemony and International Organizations*, pp. 92-114.
- Gautam Sen, "The United States and the GATT/WTO System," in Foot, MacFarlane, and Mastanduno, eds., *U.S. Hegemony and International Organizations*, pp. 115-138.

- Eric Neumayer, "The Determinants of Aid Allocation by Regional Multilateral Development Banks and United Nations Agencies," in Diehl, *The Politics of Global Governance*, pp. 290-312.
- Thomas G. Weiss and Ramesh Thakur, "Trade, Aid, and Finance," *Global Governance at the UN: An Unfinished Journey*, pp. 155-198.

Recommended:

- Michael Barnett and Martha Finnemore, "Expertise and Power at the International Monetary Fund," *Rules for the World*, Ithaca: Cornell University Press, 2004, pp. 45-72.
- Joseph E. Stiglitz, "The East Asia Crisis: How IMF Policies Brought the World to the Verge of a Global Meltdown," *Globalization and Its Discontents*, New York: Norton, 2002, pp. 89-132.
- Keisuke Iida, "Is WTO Dispute Settlement Effective?" in Diehl, *The Politics of Global Governance*, pp. 313-329.
- Randall D. Germain, "Reforming the International Financial Architecture: the New Political Agenda," in Rorden Wilkinson and Stephen Hughes, eds., *Global Governance: Critical Perspectives*, London: Routledge, 2004, pp.17-35.
- Paul Cammack, "The Mother of All Governments: the World Bank's Matrix for Global Governance," in Wilkinson and Hughes, eds., *Global Governance: Critical Perspectives*, pp. 36-54.
- Sebastian Mallaby, *The World's Banker: A Story of Failed States, Financial Crises, and the Wealth and Poverty of Nations*, New York: Penguin Press, 2004.

9. Regionalism

- Mary Farrell, "The Global Politics of Regionalism: An Introduction," in Mary Farrell, Bjorn Hettne, and Luk Langenhove, eds., *Global Politics of Regionalism: Theory and Practice*, London: Pluto Press, 2005, pp. 1-20.
- Andrew Hurrell, "The Regional Dimension in International Relations Theory," in Farrell, Hettne, and Langenhove, eds., *Global Politics of Regionalism: Theory and Practice*, pp. 38-53.
- Edward D. Mansfield and Helen V. Milner, "The New Wave of Regionalism," in Diehl, *The Politics of Global Governance*, pp. 330-376.
- Paul Cornish, "NATO: The Practice and Politics of Transformation," *International Affairs*, Vol. 80, No. 1, January 2004, pp. 63-74.

Recommended

- Sandra J. Maclean, "Peacebuilding and the New Regionalism in Southern Africa," *Third World Quarterly*, Vol. 20, No. 5, Special Issue: New Regionalisms in the New Millennium, 1999, pp. 943-956.
- Benedict Bull, "New Regionalism in Central America," *Third World Quarterly*, Vol. 20, No. 5, Special Issue: New Regionalisms in the New Millennium, 1999, pp. 957-970.
- Mary Farrell, Bjorn Hettne, and Luk Langenhove, eds., *Global Politics of Regionalism: Theory and Practice*, London: Pluto Press, 2005.

- Lloyd Gruber, "Jump-Starting the Free Trade Bandwagon" and "NAFTA and Beyond: Is Free Trade Contagious?" *Ruling the World: Power Politics and the Rise of Supranational Institutions*, Princeton: Princeton University Press, 2000, pp. 93-168.
- Ivo Daalder and James Goldgeier, "Global NATO," *Foreign Affairs*, Vol. 85, No. 5, pp. 105-113.

10. Regionalism in the Asia-Pacific

- Amitav Acharya, "Democratization and the Prospects for Participatory Regionalism in Southeast Asia," *Third World Quarterly*, Vol. 24, No. 2, Special Issue: Governing the Asia Pacific: Beyond the 'New Regionalism,' April, 2003, pp. 375-390.
- Helen E.S. Nesadurai, "The Global Politics of Regionalism: Asia and the Asia-Pacific," in Farrell, Hettne, and Langenhove, eds., *Global Politics of Regionalism: Theory and Practice*, pp. 155-170.
- Ralph A. Cossa, "US Approaches to Multilateral Security and Economic Organizations in the Asia-Pacific," in Foot, MacFarlane, and Mastanduno, *U.S. Hegemony and International Organizations*, pp. 193-214.
- Leszek Buszynski, "ASEAN's New Challenges," *Pacific Affairs*, Vol. 7, No. 4, pp. 555-577.

Recommended:

- *Governing the Asia Pacific: Beyond the 'New Regionalism,'* special issue of *Third World Quarterly*, Vol. 24, No. 2, April, 2003.
- Christopher Hemmer and Peter J. Katzenstein, "Why Is There No NATO in Asia? Collective Identity, Regionalism, and the Origins of Multilateralism," *International Organization*, Vol. 56, No. 3, Summer 2002, pp. 575-607.
- Leszek Buszynski, "SEATO: Why It Survived until 1977 and Why It Was Abolished," *Journal of Southeast Asian Studies*, Vol. 12, No. 2, September 1981, pp. 287-296.
- C. M. Turnbull, "Regionalism and Nationalism," Nicholas Tarling, ed., *The Cambridge History of Southeast Asia* (Volume 2, Part 2), Cambridge: Cambridge University Press, 1997, pp. 257-335.